

QUANTUM MECHANICS

Lecture 18

Hermitian and Unitary operators
Determinate states
Eigenfunctions and eigenvalues

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D. J. Griffiths: paragraphs 3.3

Exercise N.6

Exercise

In a bidimensional Hilbert space, $\mathbf{e}_1$ and $\mathbf{e}_2$ form an orthonormal basis.

- Consider the system of the two vectors $\mathbf{a}_1 \equiv \mathbf{e}_1 + i\mathbf{e}_2$ and $\mathbf{a}_2 \equiv i\mathbf{e}_1 - \mathbf{e}_2$.
Do $\mathbf{a}_1$ and $\mathbf{a}_2$ form a basis ? Explain.
- Show that

$$\mathbf{f}_1 = \frac{1}{\sqrt{2}}(\mathbf{e}_1 + \mathbf{e}_2); \quad \mathbf{f}_2 = \frac{1}{\sqrt{2}}(\mathbf{e}_1 - \mathbf{e}_2)$$

form an orthonormal basis.

- Write the 2×2 matrix $\mathbf{A}$ that allow to express the vectors $\mathbf{f}_i$ in terms of the vectors $\mathbf{e}_j$, i.e. $\mathbf{f}_i = \mathbf{A}_{ji}\mathbf{e}_j$; $i, j = 1, 2$.

Hermitian operators

- ① We have seen that, in a basis $\{\mathbf{e}_j\}$, the linear operator $\hat{Q}$ is completely determined by the square matrix $Q_{kj} \equiv \langle \mathbf{e}_k | \hat{Q} \mathbf{e}_j \rangle$ such that

$$\hat{Q} \mathbf{e}_j = Q_{kj} \mathbf{e}_k$$

- ② To every linear operator $\hat{Q}$ we can associate its **adjoint** $\hat{Q}^\dagger$ by the following definition

$$\forall \mathbf{a}, \mathbf{b} \in \mathcal{H} : \langle \mathbf{a} | \hat{Q}^\dagger \mathbf{b} \rangle \equiv \langle \hat{Q} \mathbf{a} | \mathbf{b} \rangle$$

- ③ The matrix $(Q^\dagger)_{kj}$, describing the operator $\hat{Q}^\dagger$ in the basis $\{\mathbf{e}_j\}$, by definition, is given by

$$\hat{Q}^\dagger \mathbf{e}_j \equiv (Q^\dagger)_{kj} \mathbf{e}_k, \quad \text{with} \quad (Q^\dagger)_{kj} = \langle \mathbf{e}_k | \hat{Q}^\dagger \mathbf{e}_j \rangle$$

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- 2 Therefore, the matrix representing the operator $\hat{Q}^\dagger$ in the orthonormal basis $\{\mathbf{e}_j\}$ is the hermitian conjugate of the matrix which represents, in the same basis, the operator $\hat{Q}$.

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which means that the **expectation value** of a self-adjoint operator $\hat{A}$, evaluated on any vector $\mathbf{a}$, is a **real quantity**.

- 3 **But** every physical observable $\hat{Q}$ **must** have an expectation value on any physical state which is **real**...

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Hermitian operators and physical observables

Since the condition of having a real expectation value on any vector **is a sufficient condition to conclude that the operator is hermitian,**

we can affirm that the physical observables must be represented by hermitian operators.

Hermitian operators and physical observables

This is the reason why every physical observable $\hat{Q} = \hat{Q}(x, -i\hbar\frac{\partial}{\partial x})$, acting on the wave-functions, is such that

$$\begin{aligned} \langle \psi_1 | \hat{Q} \psi_2 \rangle &\equiv \int dx \psi_1^*(x, t) (\hat{Q} \psi_2)(x, t) = \\ &= \int dx (\hat{Q} \psi_1^*)(x, t) \psi_2(x, t) \equiv \langle \hat{Q} \psi_1 | \psi_2 \rangle \end{aligned}$$

Unitary Operators

- 1 Another important class of linear operators are the **unitary operators**.
- 2 The **linear operator** $\hat{U}$ is **unitary** iff

$$\hat{U} \hat{U}^\dagger = I = \hat{U}^\dagger \hat{U} \Leftrightarrow \hat{U}^\dagger = \hat{U}^{-1}$$

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- ① If $\hat{U}$ is unitary, then the matrix U representing the linear operator $\hat{U}$ in a basis $\{\mathbf{e}_j\}$ is also unitary ($\Rightarrow UU^+ = I$); in fact

$$\begin{aligned}\delta_{kj} &= \langle \mathbf{e}_k | \mathbf{e}_j \rangle = \langle \hat{U}\mathbf{e}_k | \hat{U}\mathbf{e}_j \rangle = \langle U_{sk}\mathbf{e}_s | U_{tj}\mathbf{e}_t \rangle = \\ &= U_{sk}^* U_{tj} \langle \mathbf{e}_s | \mathbf{e}_t \rangle = U_{sk}^* U_{tj} \delta_{st} = (U^+ U)_{kj} \\ &\Leftrightarrow U^+ U = I \quad \Leftrightarrow \quad U^+ = U^{-1}\end{aligned}$$

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- 2 **Can we realize a physical state**, such that the measurement of the observable $\hat{Q}$ gives, with certainty, some suitable **real value q ?**

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- 1 This must be possible, because we know that, if we start from a state Ψ_{in} , we measure $\hat{Q}$ and we obtain some value q , then, if we measure again $\hat{Q}$ on the new physical state Ψ_q in which the previous one has collapsed after the first measurement, we obtain again the value q .
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- 1 Which are the characteristics of the (normalized) vector Ψ_q , representing such a **determinate state** ?
- 2 Clearly, the **expectation value** of the operator $\hat{Q}$ (representing the observable Q) evaluated on Ψ_q is **equal to q** , since every measurement of $\hat{Q}$ performed on the state represented by Ψ_q has only q as possible outcome:

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- 1 But since there is only one possible outcome from the measurement, the standard deviation of the probability distribution defined by $|\Psi_q|^2$ is equal to zero:

$$\sigma_q^2 = \langle \Psi_q | (\hat{Q} - \langle Q \rangle)^2 | \Psi_q \rangle = 0$$

- 2 However, $\hat{Q}$ is hermitian and the same is true for $\hat{Q} - \langle Q \rangle \equiv \hat{Q} - q$ since q is real, therefore

$$\begin{aligned} 0 &= \langle \Psi_q | (\hat{Q} - q)^2 | \Psi_q \rangle = \langle (\hat{Q} - q) \Psi_q | (\hat{Q} - q) \Psi_q \rangle = \\ &= \| (\hat{Q} - q) \Psi_q \|^2 \end{aligned}$$

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The equation

$$\hat{Q}\Psi_q = q\Psi_q \quad \text{with} \quad \Psi_q \neq 0$$

is called the **eigenvalue equation** for the operator $\hat{Q}$ and Ψ_q is an **eigenfunction (eigenvector)** of $\hat{Q}$, corresponding to the **eigenvalue** q .

- 1 Now, **be very careful.**
- 2 A frequent mistake is to think that, when you measure a physical observable $\hat{Q}$ on a physical state described by the (normalized) vector Ψ , the vector originated after the measurement is $\hat{Q}\Psi$.
- 3 This is false !

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- 3 **This is false !**

If and only if Ψ is an **eigenvector** of the operator $\hat{Q}$ for some eigenvalue q , we have

$$\hat{Q}\Psi = q\Psi$$

But, also in this case, **it is not true** that the vector describing **the physical state** after the measurement of $\hat{Q}$ is $q\Psi$, but it **remains** Ψ .

Eigenfunctions and eigenvalues

Let us observe, now, that

- 1 If Ψ is an eigenvector of $\hat{Q}$ for the eigenvalue q , then also $\alpha \Psi$ (with $\alpha \neq 0 \dots$) has the same property.
- 2 It may happen that, for a given eigenvalue q , there are **two or more linearly independent** eigenvectors.
- 3 In this case, **any linear combination of these eigenvectors is an eigenvector** of $\hat{Q}$ for the eigenvalue q and we say that the eigenvalue q is **degenerate**.
- 4 The set of all the eigenvalues of the hermitian operator $\hat{Q}$ is its **spectrum**.

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Before entering in more general details, let us recall some conclusions that we have already drawn concerning the solution of an "ante-litteram" eigenvalue problem, the time-independent Schrödinger equation

$$\hat{H}\psi = E \psi$$

where

- $\hat{H}$ is the hamiltonian operator;
- E the energy eigenvalue;
- ψ the corresponding eigenvector (eigenfunction).

Eigenfunctions and eigenvalues

- ① In the case, for instance, of the **harmonic oscillator**, the energy spectrum is

$$\left\{ E_n = \left(n + \frac{1}{2} \right) \hbar \omega; \quad n = 0, 1, \dots \right\}$$

- ② The corresponding normalized eigenfunctions are

$$\psi_n(x) = \left(\frac{m\omega}{\hbar\pi} \right)^{\frac{1}{4}} \frac{1}{\sqrt{2^n n!}} e^{-\frac{1}{2} \frac{m\omega}{\hbar} x^2} H_n \left(x \sqrt{\frac{m\omega}{\hbar}} \right)$$

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In this case, the spectrum of $\hat{H}$ is

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- ① As another example, we can consider the hamiltonian $\hat{H}$ associated to the **infinite square well** between 0 and $+a$.

In this case, the spectrum of $\hat{H}$ is

$$\left\{ E_n = \frac{\hbar^2}{2m} \left(\frac{n\pi}{a} \right)^2 ; \quad n = 1, 2, \dots \right\}$$

- ② The normalized eigenfunctions are

$$\psi_n(x) = \sqrt{\frac{2}{a}} \sin \left(\frac{n\pi x}{a} \right)$$

- ③ and the eigenvalues are still non-degenerate.

Still about the eigenvectors of a hermitian operator

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- 2 **But**, as we will see in the next lecture, **the opposite is not always true !**

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